

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being submitted *via* the USPTO EFS Filing System on the date shown below to Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: April 29, 200

Michelle Folger  
Michelle Folger

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of:

Inventor(s): Yan, et al.

Examiner: Sung H. Pak

Serial No: 10/760,145

Art Unit: 2874

Issue Date: November 4, 2008

Patent No: 7,447,393

Title: THERMAL CONTROL OF OPTICAL COMPONENTS

**Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

---

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT**

---

Dear Sir:

It is noted that an error appears in this patent of a clerical nature or character as more fully described below and it is believed such error occurred in good faith and correction thereof does not involve such changes in the patent as would constitute new matter or would require reexamination. Accordingly, a Certificate of Correction is respectfully requested.

Attached hereto is form PTO/SB/44 with at least one copy being suitable for printing.

The error occurs in the patent on the first page, item (73) Assignee should be indicated as NeoPhotonics Corporation – this error and the correction thereof is detailed in the attached form PTO/SB/44.

The error is believed to have been made by the Patent Office.

In the event any fees are due in connection with this paper, authorization is granted to charge such fees to Deposit Account No. 50-1063.

Please send the Certificate to:

Name: Gregory Turocy  
Address: TUROCY & WATSON, LLP  
57<sup>th</sup> Floor, Key Tower  
127 Public Square  
Cleveland, Ohio 44114

Respectfully submitted,  
TUROCY & WATSON, LLP

/Greg Turocy/  
Gregory Turocy  
Reg. No. 36,952

TUROCY & WATSON, LLP  
57th Floor, Key Tower  
127 Public Square  
Cleveland, OH 44114  
Telephone (216) 696-8730  
Facsimile (216) 696-8731

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 7,447,393  
APPLICATION NO.: 10/760,145  
ISSUE DATE : November 4, 2008  
INVENTOR(S) : Yan, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

The error occurs in the patent on the first page, item (73) Assignee: Neophotonics Corporation, San Jose, CA (US)

It should read:

(73) Assignee: NeoPhotonics Corporation, San Jose, CA (US)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

57th Floor, Key Tower  
127 Public Square,  
Cleveland, Ohio 44114

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*